

Different Regions, Differences in Energy Consumption: Do regions account for the variability in household energy consumption?

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Abstract

This research aims to discover between-region variability in household energy consumption behaviors, using multilevel modeling with data from Residential Energy Consumption Survey in 2009. Where past research focuses on the physical characteristics of housing, our effort in this research centers on between-region variability and micro-level determinants of residential energy usage. We found significant between-region variability with household energy usage across the U.S. Results suggest that the association between heating degree days and energy consumption varies depending on the type of climate that a household lives in. Further, household energy usage is significantly associated with the race of the householder and income. In the discussion section, we connect this outcome to racial disparities between whites and non-whites in location choice, access to housing, and residential stratification. Moreover, the structure of our research reveals the necessity of multilevel modeling in gaining an accurate picture of residential energy consumption for more efficient energy conservation policy.

KEY WORDS: Energy Consumption; Residential Sector; Multilevel Modeling; Racial Disparities; Housing Choice

1. Introduction

Global climate change is causing shifts in current climate regions. Energy use in residential buildings is one of the major sources of carbon dioxide emissions production from cities. Comprising between 16% and 50% of the total global energy consumption, most of the urban energy usage comes from building operations (Swan & Ugursal, 2009; Perez-Lombard, Ortiz, & Pout, 2008). The crucial role of urban areas in shaping global energy demand, as well as the emergent urban leadership in climate change mitigation and adaptation, has stimulated growing attention to urban-scale energy consumption information (Parshall, Gurney, Hammer, & Mendoza, 2010).

Nonetheless, compared with other GHG (greenhouse gases) emission production sectors (e.g. transportation, industry, etc.), the residential sector is largely an indeterminate energy sink (Swan & Ugursal, 2009). Analysis of energy consumption in cities, in general, and in residential buildings in particular, has seen little policy or financial support. Additionally, suitable data sources are not easily accessible for research (Ewing & Rong, 2008; Hirst, 1980; Lutzenhiser, 1992). As a result, research in this area is dispersed across, and separated by, a variety of disciplines, distinct theoretical orientations, and research methods (Lutzenhiser, 1992; Perez-Lombard, Ortiz, & Pout, 2008).

The residential sector consumes secondary energy in major end-use groups such as space heating and cooling, domestic hot water, and appliance and lighting. Energy use in these groups is highly dependent on local climate, the housing unit, home appliances, energy control systems, energy markets, household characteristics and behaviors (Swan & Ugursal, 2009; Shimoda, Asahi, Taniguchi, & Mizuno, 2007; Perez-Lombard, Ortiz, & Pout, 2008; Hirst, Goeltz, & Carney, 1982; Cramer, et al., 1984) (Figure 1).

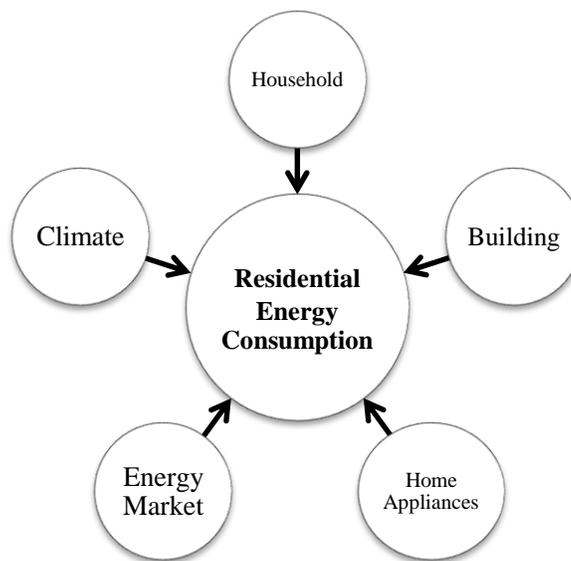


Figure 1: Determinants of residential energy consumption

Determinants of residential energy use can be categorized into contextual and behavioral domains (Wilson & Dowlatabadi, 2007). Behavioral domains characterize energy consumption under the rubric of life-styles and consumption behaviors (Lutzenhiser, 1992), most of which correlate with sociodemographic and economic characteristics of households. On the contextual domain, along with local climate, energy market, and home appliances, characteristics of the housing units embrace the main constituents of the contextual domain. There are two groups of housing unit characteristics that influence energy consumption: construction quality and physical attributes of a building. In this paper, we focus on the impact of physical attributes of buildings on energy consumption.

The Effects of Physical Attributes of Buildings on Energy Consumption

An increase in size of residential buildings, which is often associated with an increase home appliance use, escalates total energy consumption in the residential sector (Shimoda, Asahi, Taniguchi, & Mizuno, 2007; Ewing & Rong, 2008; Kaza, 2010; Kelly, 2011). Further, residential energy consumption varies across different housing types (Brounen, Kok, & Quigley, 2012). This difference is often more pronounced between single-family and multifamily residential buildings (Kaza, 2010). Ewing and Rong (2008) show that detached single-family units consume more energy for heating and cooling, in comparison to households living in multifamily housing units. Households living in detached single-family units consume 54% more energy for heating and 26% more for cooling in comparison to households living in multifamily units (Ewing & Rong, 2008). However, the influence of floor area on energy use per unit floor area is negative, suggesting that energy use increases more slowly than floor area (Hirst, Goeltz, & Carney, 1982).

The Effects of Household Characteristics on Energy Consumption

Energy consumption behaviors of households vary systematically among socioeconomic groups and across geographic locations (Lutzenhiser, 1992; Brandon & Lewis, 1999). Household size and composition are important determinants of energy consumption for residential buildings (O'Neill & Chen, 2002; Kaza, 2010; Brounen, Kok, & Quigley, 2012). Among all socioeconomic determinants of residential energy use, household size has the largest impact (Kelly, 2011). An increase in household size is often associated with higher total energy consumption, but a lower per-capita consumption (O'Neill & Chen, 2002). The impact of household size, is nuanced, and varies further by household composition (e.g. number of children and adults, sex of household members). For example, while the presence of children is expected to increase energy use at home (Van Raaij & Verhallen, 1983), the number of adults has a much larger influence on total energy use than the number of children (Hirst, Goeltz, & Carney, 1982).

The role of household income on energy consumption indices is consistent in the literature. Generally, energy consumption indices are positively associated with income (Hirst, Goeltz, & Carney, 1982; Brandon & Lewis, 1999; Kahn, 2000; Van Raaij & Verhallen, 1983; Santin, 2011; Brounen, Kok, & Quigley, 2012; O'Neill & Chen, 2002). Yet, there are complexities in the energy consumption of lower-income groups. While these groups use less energy, they are less able to reduce their energy use, compared with high-income consumers. That is in part because low-income households are more likely to reside in older buildings with poor envelope conditions (Santamouris, Kapsis, Korres, Livada, Pavlou, & Assimakopoulos, 2007).

2. Problem statement and conceptual model

Clear understanding of residential energy consumption is the key constituent of effective energy policy and planning (Hirst, 1980; Brounen, Kok, & Quigley, 2012), which is supposed to be achieved through research. However, there are at least two issues in the prior research on residential energy consumption. First, most of the current residential energy debate focuses on aspects of the physical characteristics of the housing stock and other technical factors, underestimating the role of residential household behaviors. Second, much of the traditional research on the determinants of energy use in the residential sector fails to account for complexities and variations in the effect of housing- and household-related predictors, such as variations across space, and mediations and interactions between variables.

We posit that energy consumption behaviors might be different across different regions. Our hypothesis is based on the assumption that macro-level determinants of energy use, such as climate, energy market, and local and regional regulatory environments can alter residential energy consumption by changing effects of micro-level variables. This research focuses on household- and housing-related determinants of residential energy consumption, aiming to evaluate current household energy consumption patterns to see if there is significant variability between households in different regions of the U.S.

3. Data and the Substantive Model

We use microdata from the 13th Residential Energy Consumption Survey (RECS), collected by the U.S. Energy Information Administration in 2009 (U.S. Energy Information Administration, 2013). Since 1978, RECS has collected energy-related data for occupied primary housing units in a national area-probability sample survey. Using a complex multistage, area-probability design, the 2009 survey collected data from a random sample of 12,083 households in the U.S. To comply with the structure and purpose of this study, we use listwise deletion and excluded 493 cases from the original sample size, leading to a sub-sample of 11590 households for this analysis. A set of 12 variables representing total energy use, household and housing units characteristics, local climate, regional grouping are selected from the initial set of 325 variables collected as part of the RECS based on our theoretical focus. These variables include: total energy consumption (BTU), housing type, total square footage, number of rooms, duration of residence, race, income, household size, housing unit age, heating degree-days, cooling degree-days, and state group.

For the purpose of this study, we consider a two-level data structure. Figure 2 illustrates the classification diagram of the dataset for our research. 27 state groups define our macro-level variable, the regions (for the list of state groups see Appendix). Household and housing unit information institute our micro-level, along with local climate information. Using local climate variables helps us to control for climate impacts in our model and focus on household-related and housing-related predictors of energy consumption.

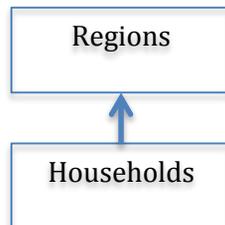


Figure 2: Classification diagram of this study's two-level nested structure: households in state groups

4. Methods

Before developing our multilevel model, descriptive statistics and histograms are generated for each of the variables. We recode or transform variables when appropriate. First, the response variable, total energy usage (BTU), is the square root transformed in order to produce a more normal distribution.

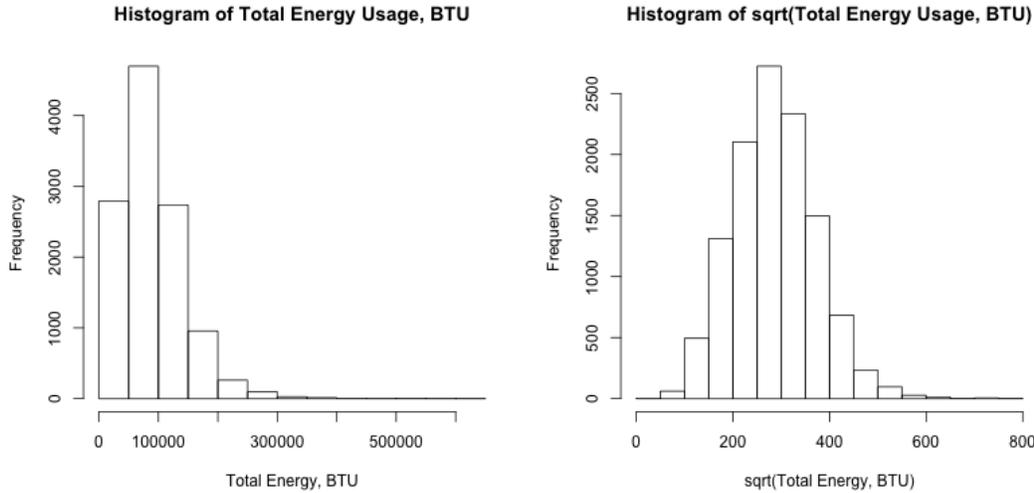


Figure 3: Histograms illustrating the square root transformation of the response variable Total Energy Usage in BTUs

Also, the housing type covariate includes mobile homes into the single family detached category, as the mobile home category has a relatively low number of responses. The covariate for housing unit age is recoded to produce a smoother distribution. And the duration of residence is a binary predictor with one indicating the household has moved in within the past four years. The household income variable is recoded so that each of the income groupings represents a change of \$10,000. Finally, the total square footage variable is log transformed to produce a more normal distribution. Descriptions of each of these covariates are presented in Table 1. The correlations between each pair of variables can be seen in Figure 4. After the data preparation steps are complete, the model selection process is started.

Table 1: Variable names and descriptions

Variable Name	Variable Description
HTYPE1	Represent house type: 1=single-family detached, 2=single-family attached, 3=apartments with 2-4 units, and 4=apartments with more than 5 units.
TOTSQFT2	Log of the housing unit total square footage
TOTROOMS	Total number of rooms in the housing unit
DOR1	A binary variable representing short duration of residents (1= moved in less than 4 years)
MAJORITY	A binary variable representing whether or not the householder is White only (non-Hispanic)
HHSIZE	Number of household members
HHINC2	2009 gross household income-in 10k intervals
H_AGE2	Age of the housing unit in 4-year intervals
HHAGE	Age of the householder
HDD30YR	Heating degree days, 30-year average 1981-2010, base 65F
CDD30YR	Cooling degree days, 30-year average 1981-2010, base 65F
TOTALBTU	Total 2009 energy usage in thousands BTU. (To justify a non-linear functional form and reduce departure from multivariate normality, we transformed the output variable, total annual energy consumption, in the square root scale)

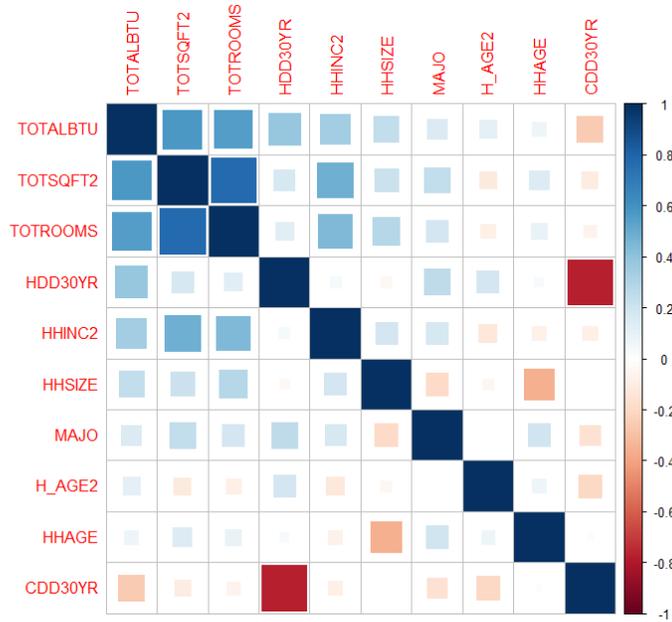


Figure 4: Correlations between each of the variables

The first step in the model selection process is to start with all 11 potential predictor variables of interest and include them as the fixed-effects elements in our model. Then all possible nested subsets of this model are calculated. The best fixed-effects model is selected based on the lowest Bayesian Information Criterion (BIC) score of 126,701. This model includes cooling degree-days, heating degree-days, housing unit type, household income, household size, total rooms, total square footages, race, housing unit age, and the age of the householder, and has the following general form:

$$TOTALBTU = CDD30YR + HDD30YR + HTYPE1 + HHINC2 + HHSIZE + TOTROOMS + TOTSQFT2 + MAJORITY + H_AGE2 + HHAGE + (1|STATES)$$

Additionally, two potential interaction terms are evaluated by adding them as fixed-effects into model. These terms comprise the interaction of race and household income and race and housing type. The model that contains the race and household income interaction term has the lowest BIC score and shows a significantly better fit using a log likelihood ratio test. The resulting BIC scores and the p-values from the log likelihood tests are presented in Table 2.

Table 2: BIC score and the results of log likelihood ratio test from adding the interaction terms to the baseline model

Interactions	BIC	P-Value of log likelihood test against the baseline random-effects model
MAJORITY*HHINC2 and MAJORITY*HTYPE1	126,694	3.244e ⁻⁹
MAJORITY*HHINC2	126,673	9.553e ⁻¹⁰
MAJORITY*HTYPE1	126,713	1.079e ⁻³

The random-effects model is selected by developing a random intercepts model using the state groupings. Then a series of models are calculated by allowing the slopes to vary by each one

of the micro-level variables individually. By comparing BIC scores the model that allows the slopes to vary by heating degree-days is shown to be the best fit with a score of 126,439. This model has the following basic form:

$$\begin{aligned}
 TOTALBTU = & CDD30YR + HDD30YR + HTYPE1 + HHINC2 + HHSIZE + TOTROOMS \\
 & + TOTSQFT2 + MAJORITY + H_AGE2 + HHAGE + MAJORITY * HHINC2 \\
 & + (1 + HDD30YR | STATES)
 \end{aligned}$$

Each possible combination of the micro-level variables is introduced in conjunction with heating degree-days in allowing the slopes to vary. Through this process the random slopes model that includes the race and heating degree-days variables has the overall lowest BIC score of 126,413. The resulting model has the following form:

$$\begin{aligned}
 TOTALBTU = & CDD30YR + HDD30YR + HTYPE1 + HHINC2 + HHSIZE + TOTROOMS \\
 & + TOTSQFT2 + MAJORITY + H_AGE2 + HHAGE + MAJORITY * HHINC2 \\
 & + (1 + MAJORITY + HDD30YR | STATES)
 \end{aligned}$$

We use log likelihood ratio tests to confirm that random-effects varying slopes and intercepts model have the best fit compared to the fixed-effects and the random-effects varying intercepts model. The tests between the fixed-effects model and both of the random-effects models produce a p-value equal to zero. Additionally, the test between the varying intercepts model and the varying slopes and intercepts also produce a value of zero. These test results indicate that the varying slopes and intercepts model is the best fit with the available data.

5. Results

The final chosen model has fixed additive effects, fixed effect interaction, random effect intercepts, and random effect slopes. The dependent variables associated with each are shown in Table 3 All the variables shown are either significant or included because an interaction term is significant.

Table 3: Components of the chosen model.

Chosen model	
Fixed effects	cooling degree days, heating degree days, number of rooms, total sq. ft. size, housing age, housing type, household income, household size, household age, majority status
Interaction	majority status × household income
Random effect grouping	states groups
Random effect slopes	majority status, heating degree days

Fixed effects

The fixed effect coefficients are shown in Table 4. The actual magnitudes of these coefficients are not comparable. First, they are the results of a model selection process; the coefficients do not account for the uncertainty in the model. If the interest is in predicting the energy consumption of households, Bayesian Model Averaging (BMA) can be used to weigh and combine the contribution from multiple models. Secondly, the variables have not been standardized. The ranges of the predictors have a large effect on the size of the coefficients. For example, the

binary variable (Majority) has a range of (0, 1), which gives a -18 contribution to the response, while the variable (HDD30YR) has a range of (0, 13346), which translates to a 159 contribution to the response over that range.

Table 4: Fixed effects coefficients

Coefficient	Estimate	Standard Error
Intercept	-130.3000	16.6100
Cooling Degree Days	0.0166	0.0017
Heating Degree Days	0.0119	0.0022
Housing Type (Single Family Attached)	-13.6000	2.1110
Housing Type (Apartment, 2-4 Units)	-11.1300	2.2490
Housing Type (Apartment, 5+ Units)	-32.2100	1.9130
Household Income	0.8399	0.2907
Household Size	7.9530	0.4071
Total Rooms	7.0160	0.4038
Total Square Feet	84.0700	3.3370
Majority	-18.0700	3.1190
House Age	1.8540	0.1178
Household Age	0.2501	0.0354
Household Income * Majority	1.8960	0.3317

The signs of the coefficients are important for interpretation, to see if they match up with our scientific intuition about energy consumption. The variables can be grouped into three categories – residence, household, and climate characteristics. Residence characteristics, which include the total number of rooms and size of the residence, have positive coefficients. This makes sense intuitively as larger residences are associated with more energy consumption. Apartments and attached housing are associated with lower energy usage than single-family detached homes. The age of the house has a positive association with energy consumption. This association may result from older homes having poorer insulation and less overall energy efficiency.

Household characteristics contain household size, householder age, household income, and majority. Household size and householder age are both positively associated with energy consumption. This indicates that large families use more energy, and that older families may be less mindful of energy use. Household income also has a positive relationship indicating that richer families may be consuming more energy. Majority has a negative coefficient; we will consider the effect of racial characteristic in greater detail below.

Climate characteristics incorporate heating and cooling degree days. Heating degree days is positively associated with energy consumption, showing the significant impact of electrical heating. Although cooling degree days is negatively associated with the response variable, its coefficient is positive after controlling for other variables – mainly the effect of heating degree days. So, for areas with equally cold winters, the ones with hotter summers may consume more energy. This effect shows the potential impact of air conditioning.

As seen in the correlation plot (Figure 4), Majority is positively associated with energy consumption. However, after adjusting for other variables in the model, particularly for heating

degree days, its coefficient becomes negative. This is an example of Simpson’s Paradox. The majority (white households) tend to live in colder climates, where people use more heating, which consumes more energy. Minority households tend to live in southern areas with fewer cold days, where people use less heating. The uneven geographic distribution of races is clearer when tabulated: the mean heating degree days for majority is 4529, while the mean heating degree days for minority is 3294.

Figure 5 shows the interaction effect between majority status and household income on energy consumption. High income white households are associated with a larger increase in energy usage, while high income minority households are associated with less of an increase in energy usage, after controlling for other variables. This can be seen as a difference in the slopes. The thick red and black lines show the association with all other variables held at the means of either majority or minority subgroups. As expected, majority households are associated with greater energy consumption overall. The lighter lines show random effects, which are discussed in the next section.

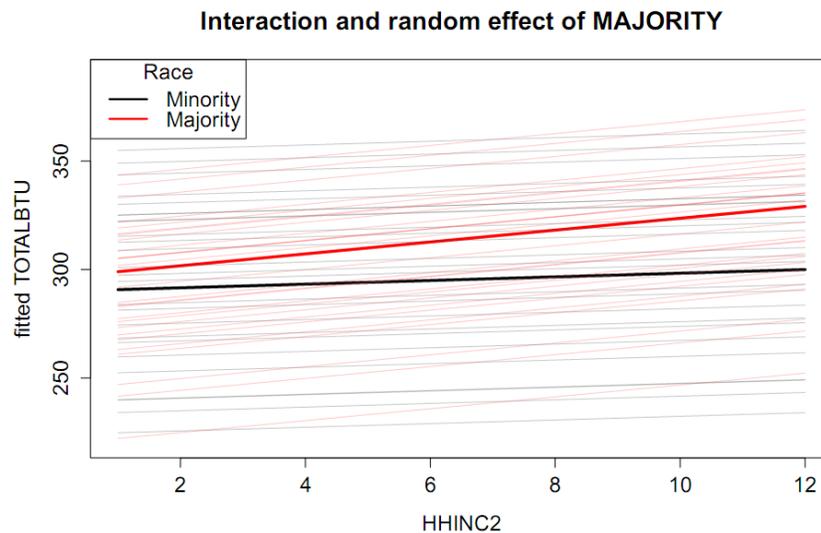


Figure 5: Interactions and random effect of the majority variable.

Hierarchical effects

There is significant variation in the energy consumption patterns between different state groups, particularly related to the number of heating degree days, and to majority status. Although the random group intercepts and slopes are correlated, the intercepts still show a meaningful trend. The random intercepts shown in Figure 6 are the differences from expected energy consumption due to being in each state group, for minority households, at 0 heating degree days. In general, hotter states like Florida and Texas use less energy, while colder states like Wisconsin and Michigan use more.

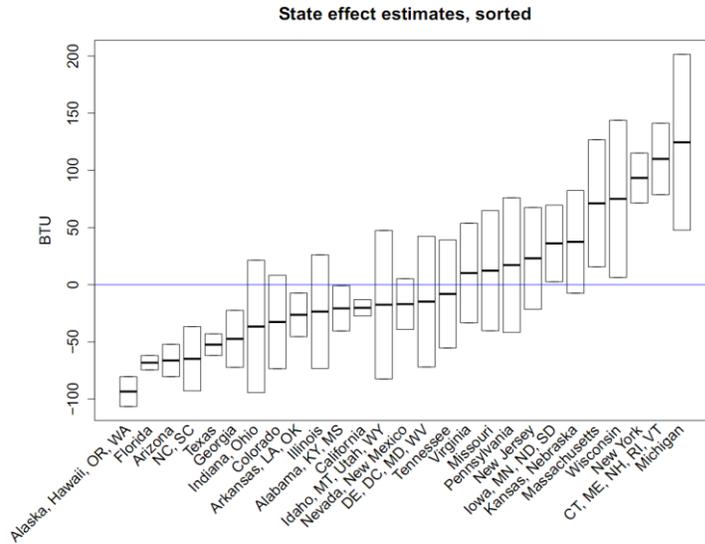


Figure 6: State effects on estimated energy consumption

The association between heating degree days (HDD) and energy consumption for state group j is given by the formula:

$$\beta_{HDD} + u_{HDD,j}$$

The three figures below show random slopes for heating degree days in three different states. The dashed black line shows the average association between HDD and energy consumption, β_{HDD} . Florida has lower energy consumption than average, but it rises more quickly when the weather gets cold. Wisconsin has higher energy consumption than average, but it does not change significantly with cold weather. The effect here may be due to regional differences in housing that's not in our model, such as the amount of insulation; or it may be due to regional household behavior differences.

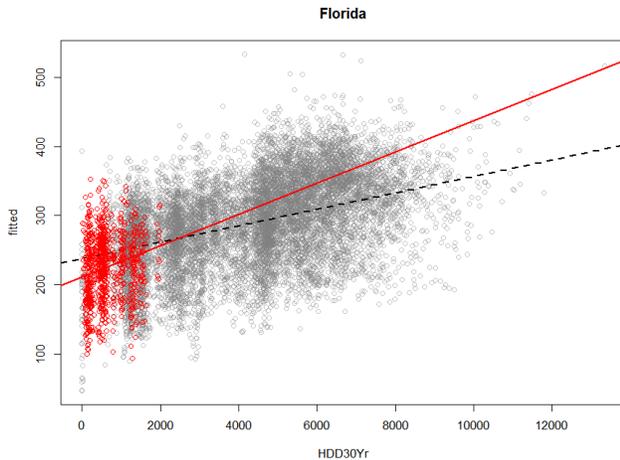


Figure 7: Random slope for FL

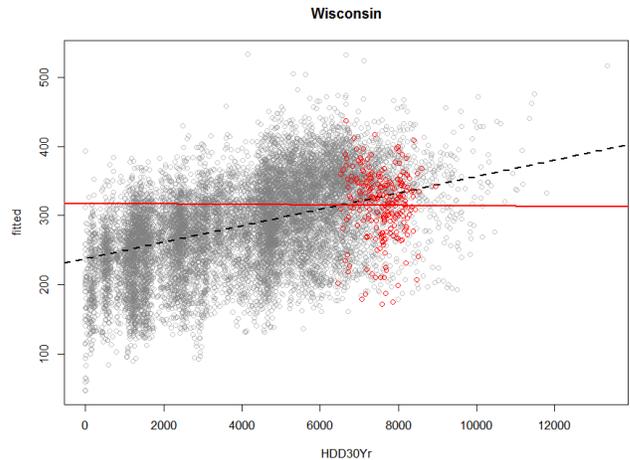


Figure 8: Random slope for WI

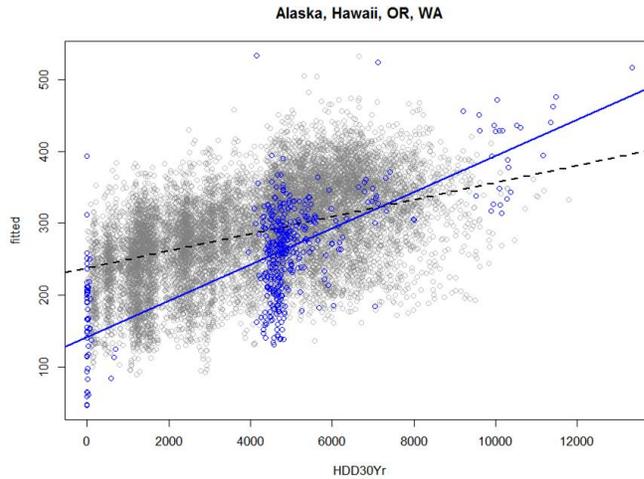


Figure 9: Random slope for AK, HI, OR, & WA

The slope for the state group Alaska, Hawaii, Oregon, and Washington show that when there is heterogeneity in climate pattern in a state group, it can overwhelm the random effect we are trying to capture. For this group, the slope is determined by the differences between Hawaii (low energy usage, warm climate) and Alaska (high energy usage, cold climate).

The difference between majority and minority for state group j , controlling for other variables, is given by

$$\beta_{\text{majority}} + \beta_{\text{interaction}} \times HHINC2 + u_{\text{majority},j}$$

Figure 5 in the previous section showed the random effects of majority status along with its interaction with household income, for each of the states. The random effect lines are plotted while holding all the other variables constant at the means for that state. Below, two of the states are selected, and their state effects are plotted in thick dashed lines. In Michigan, an average white household uses less energy than an average minority family, though the difference decreases for higher income. In Texas, minority households use less energy, and the difference increases for higher income.

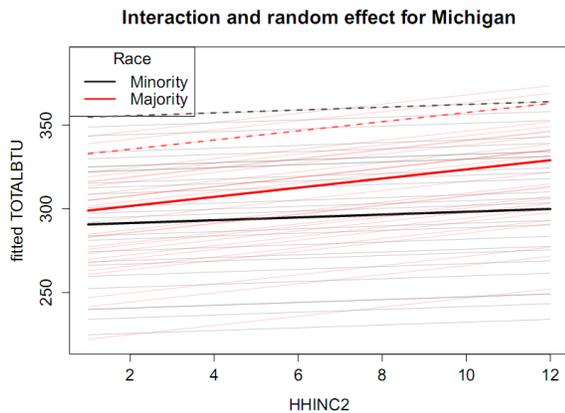


Figure 10: Random slope for Michigan

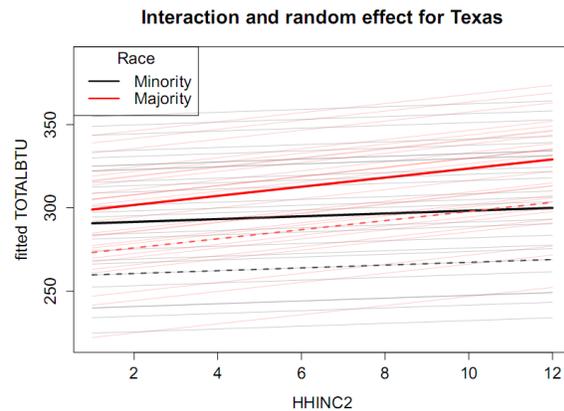


Figure 11: Random slope for Texas

6. Summary and Conclusions

With this research we have endeavored to discover if there is between-region variability in household energy consumption behaviors. We find significant between-region variability with household energy usage across the U.S. Our results suggest that heating degree days vary depending on the type of climate that a household is in. For instance, households in areas of the country that experience colder weather tend use more energy than those households that reside in warmer climates. A salient theoretical finding from our investigation is that household energy usage is significantly associated with the race of the householder and income. This is not surprising. Past research finds that racial disparities between whites and non-whites exist in almost every social circumstance. Non-whites generally have lower incomes, higher negative health outcomes, and detrimental neighborhood conditions, along with other socially deleterious circumstances. Thus, this finding is telling in that it mirrors minority groups' experiences, especially blacks, with residential stratification. Research evinces that as blacks increase in education and income that they are unable to gain access to white neighborhoods. Some argue that this is due to preferences – that races tend to prefer in-group solidarity – but others point to discrimination as the main driver of this phenomenon. What might be occurring in our research is that we are capturing an outcome of residential housing stratification. Since a large proportion of blacks are living in urban cores they are on average using less household energy as compared to suburban whites.

We readily acknowledge the limitations of this study. First, mindfulness is necessary when making causal statements from a model. A regression study does not give any evidence of the direction or structure of causality between variables. The interpretations above are speculations based on prior knowledge. Structural equations modeling (SEM) analysis may provide stronger evidence of causation. Also, our study has limits because we focus on white versus non-white differences in energy usage based on income. Disaggregating non-whites into other racial categories will provide a clearer picture of the differences in energy consumption behavior based on race. Furthermore, prospective studies should break up regions into specific states. Our study has 27 state groups, which are roughly culled together based on geographic closeness, but there are exceptions. For example, we have a grouping that includes Alaska, Hawaii, Oregon and Washington. Where Oregon and Washington are similar in climate, Alaska and Hawaii could not be any different. Consequently, having all 50 states will eliminate that source of bias.

Our research, being explorative, is effective at defining key variables that are essential in the investigation of energy consumption within households. Where past research focuses on the physical characteristics of housing, our efforts center on between-region variability and micro-level determinants of residential energy usage. We explore the role of household energy behaviors, which the understanding of is essential to future energy policies. Moreover, the structure of our research reveals the necessity of multilevel modeling in gaining an accurate picture of residential energy consumption.

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APPENDIX

Table A1: 27 State Groupings

1	Connecticut, Maine, New Hampshire, Rhode Island, Vermont
2	Massachusetts
3	New York
4	New Jersey
5	Pennsylvania
6	Illinois
7	Indiana, Ohio
8	Michigan
9	Wisconsin
10	Iowa, Minnesota, North Dakota, South Dakota
11	Kansas, Nebraska
12	Missouri
13	Virginia
14	Delaware, District of Columbia, Maryland, West Virginia
15	Georgia
16	North Carolina, South Carolina
17	Florida
18	Alabama, Kentucky, Mississippi
19	Tennessee
20	Arkansas, Louisiana, Oklahoma
21	Texas
22	Colorado
23	Idaho, Montana, Utah, Wyoming
24	Arizona
25	Nevada, New Mexico
26	California
27	Alaska, Hawaii, Oregon, Washington
